

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 31583-226294 RK U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/559650
INTERNATIONAL APPLICATION NO. PCT/EP2004/005911	INTERNATIONAL FILING DATE 01 JUNE 2004	PRIORITY DATE CLAIMED 05 JUNE 2003
TITLE OF INVENTION METHOD AND DEVICE FOR THREE-DimensionALLY DETERMINING THE REFRACTIVE INDEX OF TRANSPARENT OR PARTIALLY TRANSPARENT LAYERS		
APPLICANT(S) FOR DO/EO/US Joachim STUMPE, Christoph JUNG and Olga KULIKOVSKA		

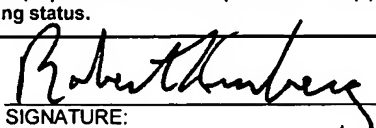
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau (FORM PCT/IB/308 attached).
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment and Abstract.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **Submission of Formal Drawings and 3 sheets of Figs. 1-5, PTO FORM SB 08/A and International Search Report**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. 10/559650		INTERNATIONAL APPLICATION NO. PCT/EP2004/005911		ATTORNEY'S DOCKET NUMBER 31583-226294 RK	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee				\$	300.00
<input checked="" type="checkbox"/> b) Examination fee				\$	200.00
<input checked="" type="checkbox"/> c) Search fee				\$	500.00
TOTAL OF ABOVE CALCULATIONS =				\$	1,000.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	13- 20 =	0	X	\$	0.00
Independent claims	1- 3 =	0	X	\$	0.00
MULTIPLE DEPENDENT CLAIM(s) (if applicable)				\$	
TOTAL OF ABOVE CALCULATIONS =				\$	1,000.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$	1,000.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$	
TOTAL NATIONAL FEE =				\$	1,000.00
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$	1,000.00
				Amount to be refunded:	\$
				Amount to be charged:	\$
<p>a. <input checked="" type="checkbox"/> The Inventor declaration will be filed in response to the Missing Requirements Notice.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>22-0261</u> in the amount of \$ <u>1,000.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>22-0261</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.</p>					
SEND ALL CORRESPONDENCE TO: VENABLE LLP P.O. Box 34385 Washington, D.C. 20043-9998 Tel.: (202) 344-4000 Fax: (202) 344-8300 CUSTOMER NUMBER: 26694					
<div style="text-align: right;">  SIGNATURE: Robert Kinberg NAME 26,924 REGISTRATION NO. December 5, 2005 DATE </div>					

10/559650

IAP9 Rec'd PCT/PTO 05 DEC 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joachim STUMPE et al.

International Appln: PCT/EP2004/005911
Filed: June 1, 2003

U.S. Application No.: Not Yet Assigned

For: METHOD AND DEVICE FOR
THREE-DimensionALLY
DETERMINING THE REFRACTIVE
INDEX OF TRANSPARENT OR
PARTIALLY TRANSPARENT
LAYERS

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Atty. Docket No.: 31583-226294 RK

Customer No.: 26694

Submission of Formal Drawings

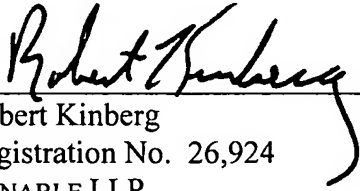
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are three (3) sheets of formal drawings containing Figs. 1-5.

Respectfully submitted,

Date: December 5, 2005


Robert Kinberg
Registration No. 26,924
VENABLE LLP
P.O. Box 34385
Washington, D.C. 20043-9998
Telephone: (202) 344-4000
Telefax: (202) 344-8300

RK/trt

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